

中央财经大学

国际贸易学专业博士研究生推荐阅读书目

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英文书籍

Theory and Empirical Evidence Textbook

- **Bagwell, K. and R. Staiger, 2002, *The Economics of the World Trading System*, MIT Press.**

In this book, Kyle Bagwell and Robert Staiger provide an economic analysis and justification for the purpose and design of the GATT/WTO. They summarize their own research, discuss the major features of the GATT agreement, and survey the literature on trade agreements. Their focus on the terms-of-trade externality is particularly original and ties the book together. Topics include the theory of trade agreements, the origin and design of the GATT and the WTO, the principles of reciprocity, the most favored nation principle, terms-of-trade theory, enforcement, preferential trade agreements, labor and environmental standards, competition policy, and agricultural export subsidies.

- **Bhagwati, J. N. (ed.), 1987, *International Trade: Selected Readings*, MIT Press.**

This text collects the most important contributions to the theory of international trade in recent decades, including the many new approaches developed during the 1980s. Of the 28 chapters in major sections covering general equilibrium, trade pattern theories, imperfect competition and market structure, quotas and VERs, theory of distortions, direct unproductive profit-seeking and rent-seeking activities, customs unions, growth and transfers, and foreign investment, 16 are new to this edition.

- **Bhagwati, Panagariya and Srinivasan, 1998, *Lectures on International Trade*, 2nd Edition, MIT Press.**

The greatest strength of this thoroughly revised and expanded edition of Lectures on International Trade is its rigorous algebraic and geometric treatment of the various models and results of trade theory. The authors, who now include Arvind Panagariya, offer both policy insights and empirical applications. They have added nine entirely new chapters as well as new sections to several existing chapters (e.g., a greatly expanded treatment of the growing theory of preferential trade agreements).

- **Choi, E. K. and J. Harrigan, 2003, *Handbook of International Trade: Economic and Legal Analyses of Trade Policy and Institutions*, Blackwell Publishing Ltd.**

This second volume of the Handbook of International Trade focuses on the economic and legal analysis of international laws and institutions as they impact trade. Containing chapters written by both economic and legal scholars, this volume encourages cross-discipline discussion with writing that is accessible to those approaching the material from any background. Central issues to those studying international trade are addressed, including labor, environment rights, and

preferential trade agreements, antitrust policy, patent rights, trade liberalization and foreign direct investment.

- **Dixit, A. K. and V. Norman, 1980, *Theory of International Trade*, Cambridge University Press.**

This book expounds trade theory emphasizing that a trading equilibrium is general rather than partial, and is often best modelled using dual or envelope functions. This yields a compact treatment of standard theory, clarifies some errors and confusions, and produces some new departures. In particular, the book (i) gives unified treatments of comparative statics and welfare, (ii) sheds new light on the factor-price equalization issue, (iii) treats the modern specific-factor model in parallel with the usual Heckscher-Ohlin one, (iv) analyses the balance of payments in general equilibrium with flexible and fixed prices, (v) studies imperfect competition and intra-industry trade.

- **Feenstra, R. and Shang-Jin Wei (ed.), 2010, *China's Growing Role in World Trade*, University of Chicago Press.**

Bringing together an expert group of contributors, *China's Growing Role in World Trade* undertakes an empirical investigation of the effects of China's new status. The essays collected here provide detailed analyses of the microstructure of trade, the macroeconomic implications, sector-level issues, and foreign direct investment. This volume's careful examination of micro data in light of established economic theories clarifies a number of misconceptions, disproves some conventional wisdom, and documents data patterns that enhance our understanding of China's trade and what it may mean to the rest of the world.

- **Feenstra, R., 2003, *Advanced International Trade: Theory and Evidence*, Princeton University Press.**

Advanced International Trade is the first major graduate textbook in international trade in a generation. Trade is a cornerstone concept in economics, taught in all departments both in the United States and abroad. The past twenty years have seen a number of new theoretical approaches that are essential to any graduate international trade course, and will be of interest in development economics and other fields. Here, Robert Feenstra steps beyond theory to consider empirical evidence as well. He covers all the basic material including the Ricardian and Heckscher-Ohlin models, extension to many goods and factors, and the role of tariffs, quotas, and other trade policies; recent material including imperfect competition, outsourcing, political economy, multinationals, and endogenous growth; and new material including the gravity equation and the organization of the firm in international trade.

- **Fujita, M., P. Krugman and A. Venables, 1999, *The Spatial Economy: Cities, Regions, and International Trade*, Cambridge: MIP Press.**

Since 1990 there has been a renaissance of theoretical and empirical work on the spatial aspects of the economy--that is, where economic activity occurs and why. Using new tools--in particular, modeling techniques developed to analyze industrial organization, international trade, and economic growth--this "new economic geography" has emerged as one of the most exciting areas of contemporary economics. The authors show how seemingly disparate models reflect a few basic themes, and in so doing they develop a common "grammar" for discussing a variety of issues. They show how a common approach that emphasizes the three-way interaction among increasing returns, transportation costs, and the movement of productive factors can be applied to a wide range of issues in urban, regional, and international economics. This book is the first to provide a sound and unified explanation of the existence of large economic agglomerations at various spatial scales.

- **Grossman, G. and E. Helpman, 1993, *Innovation and Growth in the Global Economy*, MIT Press.**

Traditional growth theory emphasizes the incentives for capital accumulation rather than technological progress. Innovation is treated as an exogenous process or a by-product of investment in machinery and equipment. Grossman and Helpman develop a unique approach in which innovation is viewed as a deliberate outgrowth of investments in industrial research by forward-looking, profit-seeking agents.

- **Grossman, Gene M. (Ed.), 1992. *Imperfect Competition and International Trade*, MIT Press.**

This book collects 19 of the most influential articles on trade with imperfect competition, providing ready access to current research by top-level economists. Following an introduction by Grossman that surveys the literature, the readings cover such important topics as the causes and consequences of intraindustry trade, the effects of tariffs and quantitative restrictions in oligopolistic settings, the welfare consequences of strategic trade policies, the *raison d'être* for multinational corporations, the determinants of innovation, and the interaction between technological progress and trade. The explorations of trade with imperfect competition have also deepened substantially our understanding of the costs and benefits of trade policy.

- **Grossman, G.M. and K. Rogoff (eds.), 1997, *Handbook of International Economics*, Vol. 3, North-Holland.**

Designed for use by professional researchers and advanced graduate students, this text forms part of a series of handbooks for various branches of economics, each of which is a source, reference and teaching supplement. Each handbook provides self-contained surveys of the current state of a branch of economics in the form of chapters prepared by leading specialists on various aspects of this branch of

economics. These surveys summarize not only received results but also newer developments from recent journal articles and discussion papers. Some original material is also included.

- **Grossman, G. and E. Helpman, 2002, *Interest Groups and Trade Policy*, Princeton and Oxford, UK: Princeton University Press.**

This collection of eight of their previously published articles is a companion to their recent monograph, *Special Interest Politics*. It clarifies the origins of some of the key ideas in their monograph and shows how their methods can be used to illuminate policymaking in a critical area. The articles collected in this volume are required reading for anyone interested in international relations, trade policy, or political economy. They show why Grossman and Helpman are global leaders in the fields of international economics and political economy.

- **Helpman, E. and P. Krugman, 1985, *Market Structure and Foreign Trade: Increasing Returns, Imperfect Competition, and International Economy*, Cambridge: MIT Press.**

Market Structure and Foreign Trade presents a coherent theory of trade in the presence of market structures other than perfect competition. The theory it develops explains trade patterns, especially of industrial countries, and provides an integration between trade and the role of multinational enterprises. Relating current theoretical work to the main body of trade theory, Helpman and Krugman review and restate known results and also offer entirely new material on contestable markets, oligopolies, welfare, and multinational corporations, and new insights on external economies, intermediate inputs, and trade composition.

- **Helpman, E. and P. Krugman, 1989, *Trade Policy and Market Structure*, MIT Press.**

This sequel to *Market Structure and Foreign Trade* examines the new international trade's applied side. It provides a compact guide to models of the effects of trade policy in imperfectly competitive markets, as well as an up-to-date survey of existing knowledge, which is extended by the authors' useful interpretations of the results.

- **Helpman, E., Marin, D. and T. Verdier (eds) , 2008, *The Organization of Firms in a Global Economy*. Cambridge, MA: Harvard University Press**

The Organization of Firms in a Global Economy presents a new research program that is transforming the study of international trade. Driven by the availability of new micro data sets and innovative theoretical models, it focuses on the level of firms, products, and stages of production rather than on countries and industries. It addresses such questions as why only a small proportion of firms in a given industry export and why an even smaller proportion invest abroad; why exporters tend to be more productive than nonexporters; why almost one-third of international trade takes place between units of the same firm and why as much as two-thirds involves multinational firms as exporter, importer, or both; and why

international trade may have been the most important driver of organizational changes in the corporation that have been taking place in the last decade.

- **James R. Markusen, James R. Melvin, William H. Kaemper, and Keith E. Maskus, *International Trade: theory and evidence*, McGraw-Hill, 1995**

A comprehensive, balanced text, *International Trade: Theory and Evidence* is the perfect book for International Trade courses at the undergraduate level. It is appropriate either as part of a two-term International sequence (trade and finance) or in advanced courses in Trade that follow a one-term International Economics course. It can also be used as a background text for beginning graduate courses. Intermediate Microeconomics is an assumed pre-requisite for students using this text.

- **Jaddish N. Bhagwati, ArvindPanagariya, and T.N. Srinivasan, *Lectures on International Trade*, 2ndedition, The MIT Press, 1998**

The greatest strength of this thoroughly revised and expanded edition of *Lectures on International Trade* is its rigorous algebraic and geometric treatment of the various models and results of trade theory. The authors, who now include Arvind Panagariya, offer both policy insights and empirical applications. They have added nine entirely new chapters as well as new sections to several existing chapters (e.g., a greatly expanded treatment of the growing theory of preferential trade agreements).

- **Jones, R.W. and P.B. Kenen (eds.), 1984, *Handbook of International Economics*, Vol. 1 and 2, North-Holland.**

This Handbook adopts a traditional definition of the subject, and focuses primarily on the explanation of international transactions in goods, services, and assets, and on the main domestic effects of those transactions. The first volume deals with the "real side" of international economics. It is concerned with the explanation of trade and factor flows, with their main effects on goods and factor prices, on the allocation of resources and income distribution and on economic welfare, and also with the effects on national policies designed explicitly to influence trade and factor flows. In other words, it deals chiefly with microeconomic issues and methods. The second volume deals with the "monetary side" of the subject. It is concerned with the balance of payments adjustment process under fixed exchange rates, with exchange rate determination under flexible exchange rates, and with the domestic ramifications of these phenomena.

- **Luis A. Rivera-Batiz and Maria-Angels Oliva, *International Trade: Theory, Strategies, and Evidence*, Oxford University Press, 2003.**

This book reviews the new research findings and theoretical developments that have informed these debates, offering a balanced analytical account of American and European experiences, viewpoints, approaches and policy. Written in six parts, the first part examines the determinants of trade patterns, economic location, foreign investment and the interaction between trade and economic growth. The second part

deals with strategic policy interventions. The third part examines the role of innovation, skills and contracts in trade and foreign direct investment. The fourth part focuses on trade reform, trade and investment restrictions and economic sanctions. The fifth part tackles the endogenous formation of policy through the interaction between interest groups and the government, block formation and a host of coexisting trade-restricting and -promoting practices. The sixth part focuses on the economics of the World Trade Organization, cooperative agreements, and their sustainability.

- **Leamer, E., 1984, *Sources of International Comparative Advantage: Theory and Evidence*, Cambridge: MIT Press.**

This is the first book to present a clear empirical picture of the international exchange of goods and of the resources that account for the exchanges that occur. It fully articulates the Heckscher-Ohlin theory of international comparative advantage, in which a country's factor endowments (land, labor, capital) play a crucial role in determining trade patterns. The theory is carefully linked to the book's analysis. Using tables, graphs, and econometric data summaries, Leamer describes the patterns of trade and the patterns of resource supplies of fifty-nine countries and explains these trade patterns in terms of the abundance of eleven resources. His study should create a standard by which other data analyses will be judged in the future.

- **Hoekman, B. and M. Kostecki, *The Political Economy of the World Trading System: From GATT to the WTO*, 1995, Oxford Press.**

The World Trade Organization is likely to be a major international organization for the foreseeable future. This volume, the first full-length study of the WTO and GATT from the viewpoint of public choice and political economy, details the mechanics of the multilateral trading system that emerged from the Uruguay Round of GATT. The authors, who were involved in the negotiations of the Uruguay Round, explain why WTO rules are phrased the way they are, the successes and failures of WTO and GATT, and how business, industrial associations and political lobbies influence the multilateral trading system.

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中文书目

- **丹尼·罗德里克 著 廖丽华 译：《全球化的悖论》，中国人民大学出版社，2011 年。**

内容简介：近年来，几次全球性的金融危机再一次将全球化问题推到了风口浪尖之上。人们开始思索，全球化是否是一个正确的选择，全球化真的有利于世界的发展，能够实现全世界共同发展的目标吗？《全球化的悖论》用大量真实的案例告诉我们，全球化没有错，错的是我们推动全球化的方法，错的是我们追求的全球化的程度。每个国家都有其特点，适用于某一国家的方法不一定适用于其他国家，想要用一种方法在各国实现全球化是不可能的。此外，一味地拒绝全球化，各国之间会存在难以逾越的壁垒，不利于各国共同发展；而过分推崇全球化，会将某个地区的危机无限放大，最终变成世界性的灾难。所以，《全球化的悖论》要告诉我们的是：各国应该根据自己的国情，选择恰当的方法推动全球化；要推行适度的全球化，充分发挥出全球化的优势。

- **德拉德赫萨 著 董凌云 译：《全球化博弈》，北京大学出版社，2009 年。**

内容简介：笔者试图以学术的、客观的和冷静的分析向读者展现关于全球化的争论，并具体阐述全球化劳工市场、金融市场、全球经济增长、收入分配以及不同发展水平国家间趋同的影响。要阐明这场发生在明显占少数的全球化喜好者（globapiles）和大多数的全球化恐惧者（gIobaphobes）之间的充满感情色彩的争论，客观性和经验证据是至关重要的。所以《全球化博弈》会对有关全球化的理论和实证研究做一个简单的文献综述。笔者希望这会对不熟悉经济学的读者提供帮助。

- **余森杰：《加工贸易与中国企业生产率》，北京大学出版社，2013 年。**

内容简介：这本《加工贸易与中国企业生产率(企业异质性理论和实证研究)》集结了近些年来余森杰在企业异质性、加工贸易和中国企业生产率方面所做的经验研究，成果属于国际前沿，是国际贸易领域不可多得的一本参考书。全书分三部分。第一部分从宏观的角度探讨改革以来中国外贸概况和我国制造业的产业链升级情况。第二部分是全书的核心，首先介绍了 21 世纪以来国际贸易理论在企业生产率异质性上所取得的主要前沿研究成果，其次从不同的角度论证了我国的企业生产率和不断深化的自由贸易如何影响企业的生产和出口行为，最后分析了企业生产率是如何影响企业的对外直接投资的。第三部分是国际比较，通过分析中、印、东盟各制造业生产率的不同来理解三地之间的贸易模式。由于中美双边贸易是当前我国国际贸易的一个重要问题，本书也为人民币升值是否会降低我国对美国的出口提供了实证证据。

- **罗伯特·芬斯特拉、魏尚进主编，鞠建东、余森杰主译：《全球贸易中的中国角色》，北京大学出版社，2013 年。**

内容简介：伯特·芬斯特拉、魏尚进主编的《全球贸易中的中国角色》讲述在过去 30 年，中国从国际贸易中一个微不足道的角色发展成为全世界最大的贸易出口国，重要的原材料、中间品和其他商品进口国。同时也是对外投资的主要输出国和输入国。毫无疑问的是，中国经济的活力已经引起了包括美国在内的很多国家的关注和担忧。一些人士提出了所谓的“中国威胁论”，认为中国的增长可能会威胁到其他国家。比如引起失业问题，另一方面其他人则强调中国的增长会给美国企业开辟新的市场，带来更多的投资机会。因此，《全球贸易中的中国角色》特地邀请了数位一流的国际贸易专家对中国新的

国际地位所产生的影响进行实证研究。收于本书的论文对国际贸易的微观结构、宏观含义、行业构架以及对外直接投资等主题做出了细致而深入的分析。这些研究都是在成熟经济模型的基础上，对微观数据进行大量而细致的研究，因此，有助于去芜存菁，加深我们对于中国国际贸易对世界经济的发展所扮演的不可或缺的正面角色的认识。

- **罗伯特·芬斯特拉著，唐宜红主译：《高级国际贸易：理论与实证》，中国人民大学出版社，2013年。**

内容简介：《高级国际贸易：理论与实证》是近 20 多年来国际贸易的第一本主要研究生教材。在经济学中，贸易是一个基础概念，在美国和其他国家的所有经济学系都会讲授。在过去的二十年中，出现了大量对于研究生国际贸易课程十分必要的新的理论方法，这些方法在发展经济学及其他领域将受到关注。在本书中，罗伯特·芬斯特拉不仅探究理论，还对实证证据进行考察。他涵盖了包括李嘉图和赫克歇尔-俄林模型在内的所有基本理论内容，并进一步延伸至多种商品和要素、关税和配额的作用及其他贸易政策问题；近期研究内容包括不完全竞争、外包、政治经济学、跨国公司及内生增长；新的研究内容包括引力方程以及国际贸易中的企业组织。

- **保罗·克鲁格曼著，海闻译：《战略性贸易政策与新国际经济学》，北京大学出版社，2000年。**

内容简介：《战略性贸易政策与新国际经济学》是有关贸易政策的经典之作。由 2008 年诺贝尔经济学奖独享者克鲁格曼主编。该书是政界、商界与学界就贸易政策所进行的思想碰撞。政界、商界从实务出发，而学界从理论上进行思考，从各个不同的角度对各种情况下所面临的贸易政策进行了翔实的分析。《战略性贸易政策与新国际经济学》在美国一经出版，就多次重印，引起了政、商、学各界的高度重视。2008 年经济危机以后，贸易保护主义再次抬头，各国之间的贸易摩擦加剧，尤其是像中国这种出口导向型国家，在此环境下，更是要洞悉各种贸易摩擦、贸易政策背后的真正动机。这正是此时出版该书的重要意义之一。

- **沈大勇、金孝柏：《国际服务贸易：研究文献综述》，人民出版社，2009年。**

内容简介：《国际服务贸易：研究文献综述》意在为《服务贸易总协定》研究人员和政府机构提供了解有关《服务贸易总协定》的国内外研究成果的文献检索资料和索引。重在对 1995 年至 2008 年期间国内外有关《服务贸易总协定》的基本体系和内容及主要服务贸易部门的热点问题的学术文献进行综述，并作适当的评论。全书的内容包括服务贸易的研究框架、服务贸易测量与分析方法、部门服务贸易与分析模型、区域服务贸易与分析模型以及中国与服务贸易等五部分 12 章和附录。

- **熊彼特，《经济分析史》，商务印书馆 2008，朱泱/孙鸿敬/李宏译。**

内容简介：《经济分析史(第 3 卷)》描述经济分析这个领域中分析工作的发展和命运。从希腊——罗马时代直到现在，以社会与政治历史为背景，同时注意其他社会科学和哲学的发展。《经济分析史(第 3 卷)》被公认为迄今资产阶级经济学界关于经济史的涉及范围最广、分析最为详尽深入的专著。

- **彭慕兰，《大分流》，江苏人民出版社 2008，史建云译**

内容简介：《大分流：欧洲中国及现代世界经济的发展》讨论了各家的论点，详细考察了 18 世纪欧洲和东亚的社会经济状况，对欧洲的英格兰和中国的江南地区作了具体的比

较,以新的论证方法提出了许多创新性见解。《大分流:欧洲中国及现代世界经济的发展》的基本观点是:1800年以前是一个多元的世界,没有一个经济中心,西方并没有任何明显的、完全为西方自己独有的内生优势;只是19世纪欧洲工业化充分发展以后,一个占支配地位的西欧中心才具有了实际意义。

▪ **诺思,《经济史中的结构与变迁》,上海人民出版社1994,陈郁/罗华平译**

内容简介:本书是诺思以西方产权理论、制度变迁理论对经济史进行解释的一部代表作。诺思又是新经济史学派的创始人。本书在经济史学中有两大创新:首先在方法论上恢复了理论与历史相结合的经济学优良传统。其次是诺思在本书中形成了一个包括产权理论、国家理论和意识形态理论在内的制度变迁理论。其理论一反传统的经济增长理论,认为对经济增长起决定作用是制度因素,而非技术因素,关键在于产权结构方面的变革制度的变迁才是历史演进的源泉。

▪ **哈耶克,《通往奴役之路》,中国社会科学出版社2013,王明毅/冯兴元等译**

内容简介:《通往奴役之路(修订版)》由诺贝尔经济学奖获得者弗里德里希·奥古斯特·哈耶克所著,该书在其学术生涯中占有极其重要地位,这本《通往奴役之路(修订版)》为他赢得广泛的注意,他的世界性声誉就是由此奠定的。哈耶克在书中论证道,当时正在计划中的福利国家不是为个人自由的战斗在和平时期的继续,倒是朝着专制的方向迈出了一步。因此,他认为追求计划经济,其无意识后果必然是极权主义。为了反对这种计划经济,哈耶克有力地重申了他一贯坚持的古典自由主义观点,同时,也允许适度的政府活动。

▪ **哈耶克,《个人主义与经济秩序》,生活·读书·新知三联书店2003,邓正来译**

内容简介:《个人主义与经济秩序》主要收录了知识在社会中的运用、竞争的含义、“自由”企业与竞争秩序、社会主义的计算(一):问题的性质与历史、社会主义的计算(二):辩论的状况、社会主义的计算(三):作为一种“解决方法”的竞争等内容。哈耶克在本书的序言中这样谈这本文集的内容的:“初看上去,收集在本书中的这些论文所关注的论题似乎是各不相同的,但是我希望读者很快就能够发现,其中大多数论文所讨论的问题实际上是密切相关的。”的确,这些论文涉及的范围相当广泛,从道德哲学的问题到社会科学研究方法的问题;从经济政策的问题到纯经济理论的问题;但需要指出的是,在大多数论文中,我却是把上述问题当作同一个核心问题的不同方面来看的。

▪ **弗里德曼,《美国货币史,1867-1960》,北京大学出版社2009,巴曙松/王劲松译**

内容简介:《美国货币史(1867-1960)》以货币存量为主线,研究了美国1867—1960年近一个世纪的货币发展历程,及其对美国一系列重大历史事件的影响。作者通过对货币供应变化和通胀水平的因果关系的细致描绘,证明了货币政策对于一国经济运行的深远影响,尤其是货币在稳定经济周期中的重要地位。全书将繁杂详尽的历史统计材料与具有敏锐洞察力的经济分析完美地融于一体。书中许多视角独特的分析以及具有开创性的研究结论,例如作者关于1929—1933年大萧条的分析和解释,转变了人们的观念,深化了全球金融界对金融市场的理解。《美国货币史(1867-1960)》被公认为是弗里德曼的影响最为深远和最突出的成就之一,是20世纪具有里程碑意义的经典著作。不仅是经济学者不可或缺的研究参考文献,而且对于各国宏观经济政策的制定,特别是理解全球金融动荡及其政策走向也具有重要借鉴意义。

- **费孝通，《乡土中国》，上海人民出版社 2006**

内容简介：《乡土中国》推出的是学界公认的中国乡土社会传统文化和社会结构理论研究的代表作。主要探讨了差序格局、男女有别、家族、血缘和地缘、名实的分离等篇章，可供社会学工作或教学、研究者参考。这本书不是一个具体社会的描写，而是从具体社会里提炼出的一些概念，是包含在具体的中国基层传统社会里的一种特具的体系，支配着社会生活的各个方面。

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整理说明

国际贸易学专业博士研究生推荐阅读书目以专题的形式对国内外国际贸易热点和前沿文献进行了梳理。文献和书目涵盖理论与实证，也有经典文献和前沿研究。英文文献主要来源于 AER、JPE、JIE 等国外顶尖期刊，为该研究领域的权威文献。中文文献为《经济研究》和《中国社会科学》、《管理世界》和《世界经济》、等国内顶尖期刊 2007-2013 年的最新关于国际贸易方面的文献，具有很高的参考价值。每个专题的文献均按第一作者的首字母排序，便于查找。